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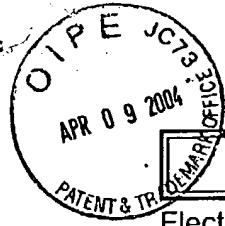
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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Ebook/Active Reading: Rendering and Capturing Ink Annotations
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Application Number: 09/455806



Confirmation Number: 5592

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84617

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APR 13 2004

Art Unit: 2171

Technology Center 2100

Examiner: H Thai

Search string: ( 6697997 or 6585776 or 6457013 or 6370497  
or 6266772 or 6163778 or 6038598 ).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6697997	2004-02-24	Fujimura	715	501, 1	
	2	6585776	2003-07-01	Bates et al.	715	501, 1	
	3	6457013	2002-09-24	Saxton et al.	707	101	
	4	6370497	2002-04-09	Knowles	704	1	
	5	6266772	2001-07-24	Suzuki	713	182	
	6	6163778	2000-12-19	Fogg et al.	707	10	
	7	6038598	2000-03-14	Danneels	709	219	

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APP\_ID=09455806

Page 1 of 2



Remarks

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Technology Center 2100

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

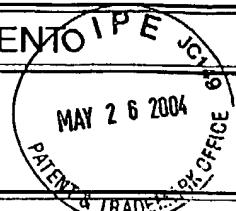
Examiner Name	Date
Hanillan	8/26/04

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0



Title of Invention	Ebook/Active Reading: Rendering and Capturing Ink Annotations
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Application Number: 09/455806 

Confirmation Number: 5592

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84617

Art Unit: 2171

Examiner: H Thai

Search string: ( 5822720 ).pn.

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5822720	1998-10-13	Bookman et al.	784	3	

### Remarks

Note: Remarks are not for responding to an office action.

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that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
Hamillai	8/26/04

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	<u>Ebook/Active Reading, Rendering and Capturing Ink Annotations</u>	
Application Number:	09/455806	
Confirmation Number:	5592	
First Named Applicant:	John Beezer	
Attorney Docket Number:	003797.84617	
Art Unit:	2171	
Examiner:	H Thai	
Search string:	( 5437295 or 6018342 or 6647534 ).pn.	

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Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

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**US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	5437295	1994-09-13		Agulnick et al.		345	840
2	6018342	2000-01-25		Bristor		715	526
3	6647534	2003-11-11		Graham			

**Remarks**

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Signature

Examiner Name	Date
<i>Mark L. Kraus</i>	8/26/04



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Stylesheet Version v18.0

Title of Invention	Ebook/Active Reading: Rendering and Capturing Ink Annotations
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Application Number: 09/455806



Confirmation Number: 5592

First Named Applicant: John Beezer

Attorney Docket Number: 003797.84617

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MAY 18 2004

Art Unit: 2171

Technology Center 2100

Examiner: H Thai

Search string: ( 6076917 or 6154771 or 6437793 ).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6076917	2000-06-20	Wen	347	43	
	2	6154771	2000-11-28	Rangan et al.	709	217	
	3	6437793	2002-08-20	Kaasila	345	611	

### Remarks

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Signature

Examiner Name	Date
Franklin	8/26/04

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	Ebook/Active Reading: Rendering and Capturing Ink Annotations
Application Number:	09/455806
Confirmation Number:	5592
First Named Applicant:	John Beezer
Attorney Docket Number:	003797.84617
Art Unit:	2171
Examiner:	H Thai
Search string:	( 5680636 or 5727129 or 5838914 or 5893132 or 6049812 or 6195694 or 6199082 or 6486895 or 6549220 or RE34476 or 5471568 or 5719595 or 5940080 ).pn.

  
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**US Patent Documents**

11/28/2003 GWD001 00000070 190733 09455806  
01 FC:1806 180.00 DA

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	1	5680636	1997-10-21	Levine et al.	715	512	
	2	5727129	1998-03-10	Barrett et al.	706	10	
	3	5838914	1998-11-17	Carleton et al.	709	204	
	4	5893132	1999-04-06	Huffman et al.	715	532	
	5	6049812	2000-04-11	Bertram et al.	715	516	
1	6	6195694	2001-02-27	Chen et al.	709	220	

7	6199082	2001-03-06	Ferrel et al.	715	522
8	6486895	2002-11-26	Robertson et al.	345	776
9	6549220	2003-04-15	Hsu et al.	345	854
10	RE34476	1993-12-14	Norwood	382	186
11	5471568	1995-11-28	Webb et al.	382	199
12	5719595	1998-02-17	Hoddie et al.	345	611
13	5940080	1999-08-17	Ruehle et al.	345	611

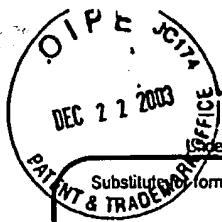
## Remarks

Note: Remarks are not for responding to an office action.

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## Signature

Examiner Name	Date
Hank Liao	8/26/04



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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

<p style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p style="text-align: center;"><i>(use as many sheets as necessary)</i></p> <p>Sheet <b>1</b> of <b>3</b></p>		<i>Complete if Known</i>	
		Application Number	<b>09/455,806</b>
		Filing Date	<b>December 7, 1999</b>
		First Named Inventor	<b>John L. BEEZER et al.</b>
		Art Unit	<b>2776 2171</b>
Examiner Name	<b>H. Thai</b>		
		Attorney Docket Number	<b>003797.84617</b>

## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
HT		US- 5,473,742	12-05-1995	Polyakov et al.	
		US- 5,625,833	04-29-1997	Levine et al.	
		US- 5,729,687	03-17-1998	Rothrock et al.	
		US- 5,832,263	11-03-1998	Hansen et al.	
		US- 6,018,742	01-25-2000	Herbert, III	
		US- 6,054,990	04-25-2000	Tran	
		US- 6,088,481	07-11-2000	Okamoto et al.	
		US- 6,118,437	09-12-2000	Fleck et al.	
		US- 6,128,007	10-03-2000	Seybold	
		US- 6,239,792	05-29-2001	Yanagisawa et al.	
		US- 6,262,719	07-17-2001	Bi et al.	
		US- 6,279,014	08-21-2001	Schilit et al.	
		US- 6,289,362	09-11-2001	Van Der Meer	
		US- 6,335,727	01-01-2002	Morishita et al.	
		US- 6,340,967	01-22-2002	Maxted	
		US- 6,405,221	06-11-2002	Levine et al.	
HT		US- 6,571,295	05-27-2003	Sidana	
		US-			
		US-			
		US-			

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## FOREIGN PATENT DOCUMENTS

Examiner  
Signature

Frank Cross

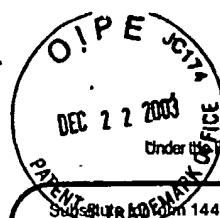
**Date  
Considered**

8/24/04

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PTO/SB/08a (05-03)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 3

Completion if Known

Application Number	09/455,806
Filing Date	December 7, 1999
First Named Inventor	John L. BEEZER et al.
Group Art Unit	2770-2171
Examiner Name	H. Thai
Attorney Docket Number	003797.84617

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Technology Center 2100

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
HK	1	"Adobe Acrobat 3.0 Reader Online Guide", Adobe Systems, Inc., pages 1-110	
		SOFTBOOK® Press, The Leader in Internet-Enabled Document Distribution and Reading Systems; 1999, <a href="http://www.softbook.com/consumer/reader.asp">http://www.softbook.com/consumer/reader.asp</a>	
	2	Rocket eBook Using the Rocket eBook; 1999, <a href="http://www.rocketbook.com/Products/Faq/using.html">http://www.rocketbook.com/Products/Faq/using.html</a>	
	3	Explore the Features of the Rocket eBook; wysiwyg://212http://www.rocketbook.com/Products/Tour/index.html	
	4	CRESPO, CHANG, and BIER, "Responsive interaction for a large Web application: the meteor shower architecture in the WebWriter II Editor", Computer Networks and ISDN Systems, pages 1508-1517	
	5	KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999	
	6	Open eBook Forum, Open eBook™ Publication Structure 1.0, Sep. 1999, <a href="http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm">http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm</a>	
	7	KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)	
	8	Dublin Core Resource Types: Structurality DRAFT: July 24, 1997, February 27, 1998	
	9	Dublin Core Metadata Element Set, Version 1.1: Reference Description 1999	
HT	10	Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31 1999	

Examiner Signature	<i>H. Thai</i>	Date Considered	8/25/04
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 2023



PTO/SB/08b(05-03)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

3

of

3

### Complete if Known

Application Number 09/455,806

Filing Date December 7, 1999

First Named Inventor John L. BEEZER et al.

Group Art Unit 2776 2171

Examiner Name H. Thai

Attorney Docket Number 003797.84617

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Technology Center 2100

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
HT	/	Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the meteror shower architecture in the WebWriter II Editor"; 1997, pages 1508-1517	
	/	HIROTSU et al., "Cmew/U - A Multimedia Web Annotation Sharing System", NTT Network Innovation Laboratories - Japan, IEEE, 1999, pages 356-359	
	/	KUO et al., "A Synchronization Scheme for Multimedia Annotation", Multimedia Information Networking Laboratory, Tamkang University - Taiwan, IEEE, 1997, pages 594-598	
		ahal InkWriter® The simplicity of pen and paper, the power of word processing., Advertisement, 1993, 2 pp., ahal software corporation, Mountain View, CA	
		ahal InkWriter® for Magic Cap "The fastest, most convenient way to create faxes, notes and messages." Advertisement, 1993, 1994, 2 pp., ahal software corporation, Mountain View, CA	
		ahal 2.0 for Windows InkWriter® The power of word processing, the convenience of pen and paper, Advertisement, 1994, 1995, 2 pp., ahal software corporation, Mountain View, CA	
HT		ahal software products, Web Page List of Products, 9/24/97, pp. 1-5, ahal software corporation, Mountain View, CA	

Examiner Signature	H. Thai	Date Considered	8/25/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Ebook/Active Reading: Rendering and Capturing Ink Annotations <i>Method &amp; Apparatus for Capturing and Rendering Annotations for non-modifiable electronic content.</i>
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Application Number: 09/455806   
Confirmation Number: 5592  
First Named Applicant: John Beezer  
Attorney Docket Number: 003797.84617  
Art Unit: 2171  
Examiner: H Thai  
Search string: ( 6144991 ).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
HT	1	6144991	2000-11-07	England		703	205

### Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish

patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Burkhardt</i>	8/26/04